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1429.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Brockley et al. Confirmation No.: 2305
Serial No.: 09/783,366 Group Art Unit: 3628
Filed: February 14, 2001 Examiner: Not Assigned
Title: SPORTS COMMEMORATOR FOR DISPLAYING A SPORTS RELATED
OBJECT AND PICTURE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on June 15, 2001.

David A. Pascarella
Attorney for Applicants
Reg. No. 36,632

Date of Signature: June 15, 2001

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION FILED UNDER 37 CFR 1.53(b) FILING DATE GRANTED**

Dear Sir:

This is a Response to the Notice to File Missing Parts of a NonProvisional Application Filed Under 37 CFR 1.53(b), Filing Date Granted, mailed from the U.S. Patent and Trademark Office April 17, 2001. As a response to the Notice is due by June 17, 2001, this Response is timely filed.

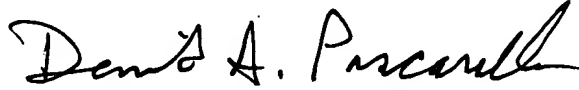
Enclosed herewith are:

- (a) A copy of the Notice to File Missing Parts of NonProvisional Application Filed Under 37 CFR 1.53(b), Filing Date Granted;

- (b) Executed Verified Statement (Declaration) Claiming Small Entity Status (Small Business Concern);
- (c) Executed Declaration and Power of Attorney;
- (d) Check in the amount of \$555.00 (\$490.00 for the filing fee and \$65 for the surcharge);
- (e) Executed Assignment and Recordation Form Cover Sheet;
- (f) Check in the amount of \$40.00 for recording the Assignment; and
- (g) One (1) acknowledgment postcard.

The Commissioner is hereby authorized to charge any deficiency or credit any refund to Deposit Account No. 08-1935.

Respectfully submitted,



David A. Pascarella
Attorney for Applicants
Reg. No. 36,632

Dated: June 15, 2001

Address for Correspondence:
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,366	02/14/2001	Thomas E. Brockley	1429.002

23405
HESLIN & ROTHENBERG, PC
5 COLUMBIA CIRCLE
ALBANY, NY 12203

CONFIRMATION NO. 2305

FORMALITIES LETTER



OC000000005976239

Date Mailed: 04/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - **\$270 for 15 total claims over 20.**
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1110.**

*A copy of this notice **MUST** be returned with the reply.*

Helen Nguyen Zewdie
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/20/2001 BNGUYEN1 00000013 09783366

01 FC:201	355.00 OP
02 FC:203	135.00 OP
03 FC:205	65.00 OP